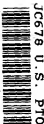


10/22/01



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Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UN-28
 First Inventor Fred Mercado
 Title Exercise and Therapeutic Trainer
 Express Mail Label No. EL329966115US

APPLICATION ELEMENTS

See MPEP chapter 800 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 2. ☒ Applicant claims small entity status.
 See 37 CFR 1.27.
 3. ☒ Specification [Total Pages 64]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 16]
 FORMAL
 5. Oath or Declaration [Total Pages 3]
 a. ☒ Newly executed (original or copy)
 Copy from a prior application (37 CFR 1.63 (d))
 b. ☐ (for continuation/divisional with Box 18 completed)
 c. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s)) ✓
 10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee) ☒
 11. ☐ English Translation Document (if applicable)
 12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No. 09, 740,445

Prior application information:

Examiner: Stephen R. Crow

Group Art Unit: 3764

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

☒ Correspondence address below

Name George F. Bethel, Beehler & Bethel
 Suite 230,
 Address 180 Newport Center Drive
 City Newport Beach State CA Zip Code 92660-6972
 Country U.S.A. Telephone 949-640-0900 Fax 949-640-7387

Name (Print/Type) George F. Bethel Registration No. (Attorney/Agent) 22,985
 Signature [Signature] Date 11/19/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

12-28-01

159830/01

10/22/01

This will acknowledge receipt of a patent application entitled an Exercise and Therapeutic Trainer, 64 pages of specification, 16 sheets of formal drawings, an assignment and assignment cover sheet, supplement to information disclosure statement and information disclosure statements, utility patent application transmittal form, fee transmittal form, small entity status form and a check in the amount of \$676.00 for the filing fee, and a declaration.

Our Ref. UN-28

The PTO did not receive the following

listed item(s) check BS99

but \$676.00

PTO/BS/17 (11-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Pentent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Fred Mercado
Examiner Name	
Group Art Unit	
Attorney Docket No.	11N-28

TOTAL AMOUNT OF PAYMENT (\$ 599.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	02-2180
Deposit Account Name	George F. Bethel

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other
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FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)					
101	710	201	355		Utility filing fee	370
106	320	206	180		Design filing fee	
107	490	207	245		Plant filing fee	
108	710	208	355		Reissue filing fee	
114	510	214	75		Provisional filing fee	

SUBTOTAL (1) (\$ 370)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent			
27	20** = 7	9	63
6	3** = 3	42	126
Multiple Dependent			

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)				
103	18	203	9		Claims in excess of 20
102	80	202	40		Independent claims in excess of 3
104	270	204	135		Multiple dependent claim, if not paid
109	80	209	40		** Reissue independent claims over original patent
110	18	210	9		** Reissue claims in excess of 20 over original patent

SUBTOTAL (2) (\$ 189)

*for number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)					
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for ex parte reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1,390	218	695		Extension for reply within fourth month	
128	1,890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unevadable	
141	1,240	241	620		Petition to revive - unintentional	
142	1,240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	80	123	50		Processing fee under 37 CFR 1.17(q)	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	40
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

SUBMITTED BY

Name (Print/Type)	George F. Bethel	Registration No.	22,985	Complete if applicable
Signature	<i>George F. Bethel</i>	Attorney/Agent		Telephone
				949-640-0900
				Date
				OCT. 19, 2001

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